

RECEIVED  
CENTRAL FAX CENTER

OCT 30 2006

PTO/SB/97 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Glenn Patent Group  
3475 Edison Way, Ste. L  
Menlo Park, CA 94025  
Tel. (650) 474-8400  
Fax. (650) 474-8401  
Customer No. 22,862

### Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the  
United States Patent and Trademark Office

on October 30, 2006

Date

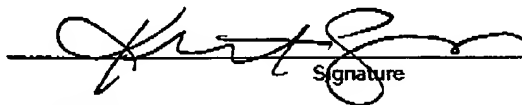
Attn: Correct Filing Receipt

Fax: (571) 273-8300

From: GLENN PATENT GROUP

Tel: (650) 474-8400

Fax: (650) 474-8401



Signature

Kristianne Serrano

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Re: Serial No. 09/782,754

Atty. Docket No. UNIV0001C

TOTAL PAGES (Incl. cover sheet) - 11

The following documents are enclosed:

- Cover-sheet (1 page);
- Request for Corrected Filing Receipt (1 page, in duplicate);
- Copy of Official Filing Receipt requesting entity status correction (3 pages);
- Copy of previously filed Notification of Change of Entity Status (1 page);
- Request for Corrected Postcard date (1 page, in duplicate);
- Copy of Express Mail label showing the correct date (1 page); and
- Copy of postcard requesting mail date correction (1 page).

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

OCT 30 2006

**In The United States Patent and Trademark Office**

**In re Application of:** Donoho, et al.

**Docket No.:** UNIV0001C

**Serial No.:** 09/782,754

**Art Unit:** 2154

**Filed:** 12 February 2001

**Examiner:** Siddiqi, Mohammad A.

**Title:** Method and Apparatus for Computed Relevance Messaging

**REQUEST FOR A CORRECTED FILING RECEIPT**

October 30, 2006

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant, by his attorney, requests correction of the Official Filing Receipt for the above-referenced patent application. The entity status is incorrect.

**Please correct the entity status to "Large Entity."**

Attached is a copy of the notification of change of status faxed on November 3, 2005. A copy of the Official Filing Receipt is also enclosed, showing the requested correction.

Since this appears to be a PTO error, Applicant believes no additional fees are due. However, the Commissioner is authorized to charge any fees and credit any overpayments to deposit account #07-1445 (Order no. UNIV0001C).

Respectfully submitted,



Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862

OCT 30 2006

**COPY**

**In The United States Patent and Trademark Office**

**In re Application of:** Donoho, et al.

**Docket No.:** UNIV0001C

**Serial No.:** 09/782,754

**Art Unit:** 2154

**Filed:** 12 February 2001

**Examiner:** Siddiqi, Mohammad A.

**Title:** Method and Apparatus for Computed Relevance Messaging

**REQUEST FOR A CORRECTED FILING RECEIPT**

October 30, 2006

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant, by his attorney, requests correction of the Official Filing Receipt for the above-referenced patent application. The entity status is incorrect.

**Please correct the entity status to "Large Entity."**

Attached is a copy of the notification of change of status faxed on November 3, 2005. A copy of the Official Filing Receipt is also enclosed, showing the requested correction.

Since this appears to be a PTO error, Applicant believes no additional fees are due. However, the Commissioner is authorized to charge any fees and credit any overpayments to deposit account #07-1445 (Order no. UNIV0001C).

Respectfully submitted,



Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862

RECEIVED  
CENTRAL FAX CENTER  
OCT 30 2006

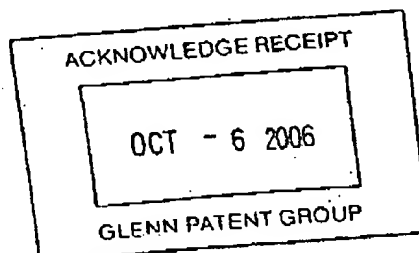
Page 1 of 3

**COPY**

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL. NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
09/782,754	02/12/2001	2154	395	UNIV0001C	25	16	4

22862  
GLENN PATENT GROUP  
3475 EDISON WAY, SUITE L  
MENLO PARK, CA 94025

CONFIRMATION NO. 1053

CORRECTED FILING RECEIPT



\*OC000000020679086\*

Date Mailed: 10/03/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David Leigh Donoho, Berkeley, CA;  
David Salim Hindawi, Berkeley, CA;  
Lisa Ellen Lippincott, Berkeley, CA;

## Power of Attorney:

Michael Glenn-30176  
Donald Hendricks-40355  
Kirk Wong-43284DOCKETED  
By: *[Signature]*  
Date: 10/19/06

## Domestic Priority data as claimed by applicant

This application is a CON of 09/272,937, 03/19/1999 PAT 6,256,664

## Foreign Applications

If Required, Foreign Filing License Granted: 04/23/2001

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US09/782,754**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Please change to \* LARGE ENTITY \*

Page 2 of 3

→ \*\* SMALL ENTITY \*\*

**COPY****Title**

Method and apparatus for computed relevance messaging

**Preliminary Class**

709

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER****Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

**COPY**

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

RECEIVED  
CENTRAL FAX CENTER

Application Serial No. 09/782,754

**COPY**

OCT 30 2006

**In The United States Patent and Trademark Office**

**In re Application of:** Donoho, et al.

**Docket No.:** UNIV0001C

**Serial No.:** 09/782,754

**Art Unit:** 2154

**Filed:** 12 February 2001

**Examiner:** Siddiqi, Mohammad A.

**Title:** Method and Apparatus for Computed Relevance Messaging

November 3, 2005

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**NOTIFICATION OF CHANGE IN STATUS**

Sir:

In connection with the above-referenced application, you are hereby notified, pursuant to 37 CFR § 1.28 (b), the status of Big Fix, Inc. has changed to that of a large entity status.

Respectfully Submitted,



Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862

OCT 30 2006

**In The United States Patent and Trademark Office**

In re Application of: Donoho, et al.

Docket No.: UNIV0001C

Serial No.: 09/782,754

Art Unit: 2154

Filed: 12 February 2001

Examiner: Siddiqi, Mohammad A.

Title: Method and Apparatus for Computed Relevance Messaging

**REQUEST FOR A CORRECTED POSTCARD DATE**

October 30, 2006

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant, by his attorney, requests correction of the postcard date from the Preliminary Amendment filed on September 26, 2006, for the above-referenced patent application. The PTO stamp date is incorrect.

**Please correct the stamp date to read September 26, 2006.**

Attached is a copy of the Express Mail label showing the correct mail date of September 26, 2006. A copy of the postcard is also included, showing the requested correction.

Since this appears to be a PTO error, Applicant believes no additional fees are due. However, the Commissioner is authorized to charge any fees and credit any overpayments to deposit account #07-1445 (Order no. UNIV0001C).

Respectfully submitted,



Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862



**COPY**

**In The United States Patent and Trademark Office**

**In re Application of:** Donoho, et al.

**Docket No.:** UNIV0001C

**Serial No.:** 09/782,754

**Art Unit:** 2154

**Filed:** 12 February 2001

**Examiner:** Siddiqi, Mohammad A.

**Title:** Method and Apparatus for Computed Relevance Messaging

**REQUEST FOR A CORRECTED POSTCARD DATE**

October 30, 2006

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

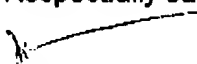
Applicant, by his attorney, requests correction of the postcard date from the Preliminary Amendment filed on September 26, 2006, for the above-referenced patent application. The PTO stamp date is incorrect.

**Please correct the stamp date to read September 26, 2006.**

Attached is a copy of the Express Mail label showing the correct mail date of September 26, 2006. A copy of the postcard is also included, showing the requested correction.

Since this appears to be a PTO error, Applicant believes no additional fees are due. However, the Commissioner is authorized to charge any fees and credit any overpayments to deposit account #07-1445 (Order no. UNIV0001C).

Respectfully submitted,

  
Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862

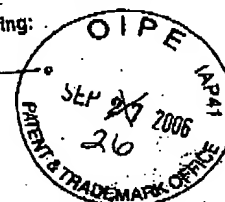
## United States Patent and Trademark Office (USPTO) Acknowledgement

Please acknowledge receipt of the enclosed document, listed below, by imprinting the USPTO date stamp in the space indicated and returning this postcard to the addressee indicated on the reverse.

Application of: Donoho, et al.Title: METHOD AND APPARATUS FOR COMPUTER RELEVANCE MESSAGINGExpress Mail No: EV 842793033 US

Enclosed are the following:

- Certificate of Mailing (1 page);
  - Request for Continued Examination (1 page, in duplicate);
  - Preliminary Amendment (31 pages);
  - Request for Corrected Filing Receipt (1 page, in duplicate);
  - Copy of utility submission and signed Oath (2 pages);
  - Copy of Official Filing Receipt (3 pages);
  - Information Disclosure Statement (2 pages, in duplicate);
  - Form 1449 (4 pages);
  - Cited References
- Fee: \$790.00

Application No: 09/782,754Filing Date: February 12, 2001Atty. Docket No: UNIV0001CDate Mailed: September 26, 2006Payment Type: Charge to USPTO Deposit Account

Please correct  
date to  
September 26, 2006

				Customer Copy Label 11-F, April 2004	
				Customer Copy Label 11-F, April 2004	
EV 842793033 US		UNITED STATES POSTAL SERVICE® Post Office To Addressee			
<b>ORIGIN (POSTAL SERVICE USE ONLY)</b> PO ZIP Code: <u>94025</u> Day of Delivery: <u>Next</u> <input type="checkbox"/> <u>2nd</u> <input type="checkbox"/> <u>3rd</u> <input type="checkbox"/> <u>4th</u> <input type="checkbox"/> Scheduled Date of Delivery: <u>9/26/06</u> Month: <u>9</u> Day: <u>26</u> Year: <u>06</u> Scheduled Time of Delivery: <input type="checkbox"/> AM <input checked="" type="checkbox"/> Noon <input type="checkbox"/> 3 PM <input type="checkbox"/> 6 PM Time Accepted: <u>1:58</u> Flat Rate <input type="checkbox"/> or Weight: <u>3</u> lbs <u>3</u> oz Int'l Alpha Country Code: <u>00</u> Method of Payment: <u>9950149</u>		<b>DELIVERY (POSTAL USE ONLY)</b> Delivery Attempt: <u>1</u> Time: <u>1:58</u> Employee Signature: <u>POSTED</u> Delivery Attempt: <u>2</u> Time: <u>1:58</u> Employee Signature: <u>POSTED</u> Delivery Date: <u>9/26/06</u> Time: <u>1:58</u> Employee Signature: <u>POSTED</u> Delivery Date: <u>9/26/06</u> Time: <u>1:58</u> Employee Signature: <u>POSTED</u>		<b>CUSTOMER USE ONLY</b> Express Mail Corporate Acct. No.: <u>9950149</u> Federal Agency Acct. No. or Postal Service Acct. No.: <u>9950149</u>	
FROM: (PLEASE PRINT) GLENN PATENT GROUP 3475 EDISON WAY STE 1 MENLO PARK CA 94025-1450 UNIV0001C		TO: (PLEASE PRINT) RCE ASSISTANT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA VA 22313-1450 Correct 9/26/2006 Date			
FOR PICKUP OR TRACKING: Visit <a href="http://www.usps.com">www.usps.com</a> or Call 1-800-222-1811					

BEST AVAILABLE COPY